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TRANSMITTAL		Application Number		10/652653		
TRANSMITTAL FORM			Date	August 28, 2003		
			lamed Inventor	Atsushi Tanaka		
(to be used for all correspondence after initial filing)		Art Unit		Unassigned		
		Examiner Name		Unassigned		
Total Number of Pages in This Submission		Attorney Docket Number 16869S-092100US		16869S-092100US		
	ENC	LOSURE	S (Check all that apply	()		
Fee Transmittal Form	☐ Drawin	g(s)		After Allowance Communication to Group		
Fee Attached	Licensi	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment/Reply	Petition	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Proprietary Information		
Powe		r of Attorney, Revocation ge of Correspondence Address		Status Letter		
Extension of Time Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund		USPTO form SB08A, Return Postcard		
	CD, Number of CD(s)		CD(s)			
☐ Information Disclosure Statement						
Certified Copy of Priority Document(s) Rema		The Commissioner is authorized to charge any additional fees to Deposi Account 20-1430.				
Response to Missing Parts/ Incomplete Application			1			
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIG	NATURE O	F APPL	ICANT, ATTORNEY,	OR AGENT		
Firm Townsend and Townsend and Crew LLP						
or Robert C. Colwell Reg. No. 27,431						
Signature / Com C Colonell						
Date C((0)						
	CERTIFIC	ATE OF	TRANSMISSION/M/	AILING		
I hereby certify that this correspondence is being as first class mail in an envelope addressed to: C	h the United States Postal Service with sufficient postage , VA 22313-1450 on the date shown below.					
Typed or printed name Roger Hylton						
Signature	_			Date 9/12/03		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**PATENT** 

Attorney Docket No.: 16869S-092100US

Client Reference No.: W1149-01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Atsushi TANAKA

Application No.: 10/652,653

Filed: August 28, 2003

For: SAN/NAS INTEGRATED

STORAGE SYSTEM

Examiner: Unassigned

Art Unit: Unassigned

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

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Respectfully submitted,

Robert C. Colwell Reg. No. 27,431

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RCC:rnh 60037162 v1



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

	Complete if Known
Application Number	10/652653
Filing Date	August 28, 2003
First Named Inventor	Atsushi Tanaka
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	16869S-092100US

U.S. PATENT DOCUMENTS+						
		Document Number				
Examiner Initials*	Cite No. <sup>1</sup>	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-2003/0135782	07-17-2003	Matsunami et al.		
	2	US-2003/0105767	06-05-2003	Sonoda et al.		
	3	US-2003/0023784	01-30-2003	Matsunami et al.		
	4	US-2003/0023665	01-30-2003	Matsunami et al.		
	5	US-2002/0152339	10-17-2002	Yamamoto		

	FOREIGN PATENT DOCUMENTS							
Examiner Cite		Foreign Patent Document				Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁰
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Examiner Signature	Date Considered	